Customer No. 26308



#### ES PATENT AND TRADEMARK OFFICE IN THE L

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Thompson et al.

Docket No.: 9345.17121-CON 1

Serial No.:

09/883,089

Examiner: Ruth S. Smith

Filed:

15 June 2001

Group Art Unit: 3737

For:

Systems for Applying Ultrasound Energy to the Thoracic Cavity

**Mail Stop Amendment Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450



PATENT TRADEMARK OFFICE

### AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

#### **STATUS**

^	A 1' 1	•
2.	Applicant	10
<b>Z</b> .	AUUIILAIII	. 13
		•••

Date: 6 September 2005

[x] a small entity

other than a small entity. [ ]

#### **CERTIFICATE OF MAILING (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United State Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed as follows: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450

Linda S. Wenzel

vipe or print name of person mailing paper

(Signature of person mailing paper)

## **EXTENSION OF TERM**

NOTE:	"Extension of Time in Patent Cases (Supplement Amendments) - If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.					
	a Notice the time!	of Appeal y-filed resp	or filing and/or entry of an additional amendment at	nsion of time is required to permit filing and/or entry of fter expiration of the shortened statutory period unless ce. Of course, if a Notice of Appeal has been filed within of December 10, 1985 (1061 O.G. 34-35).		
NOTE:	See 37 CFR 1.645 for extensions of time in interference proceedings and 37 CFR 1.550(c) for extensions of time in reexamination proceedings.					
3.	The pr	oceedir	ngs herein are for a patent application a	and the provisions of 37 CFR 1.136 apply		
			(complete (a) or (b) as appli	icable)		
	(a)	[]	Applicant petitions for an extension of 1.17(a)(1) - (a)(5)) for the total numbe	time under 37 CFR 1.136 (fees: 37 CFR of months checked below:		
[ ] [ ] [ ]	Extens (month one me two me three r four me five me	ns) onth onths months onths	Fee for other than <u>Small Entity</u> \$ 120.00 \$ 450.00 \$1020.00 \$1590.00 \$2160.00	Fee for Small Entity \$ 60.00 \$ 225.00 \$ 510.00 \$ 795.00 \$1080.00		
Fee: \$						
If an additional extension of time is required please consider this a petition therefor.						
			(check and complete the next item	, if applicable)		
	[ ] An extension for months has already been secured and the fee paid therefor of \$ is deducted from the total fee due for the total months of extension now requested.					
	Extension fee due with this request: \$					
			· OR			
	(b)	[x]		ion of term is required. However, this rovide for the possibility that applicant has a petition for extension of time.		

#### **FEE FOR CLAIMS**

4. The fee for claims has been calculated as shown below:

	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Additional Fee (Small Entity)	Additional Fee (Large Entity)
Total Claims 37 CFR 1.16(i)*	14	-20 =	(6)	x \$ 25.00	\$0	\$0
Independent Claims (37 CFR 1.16(h)**	1	-3 =	(2)	x \$ 100.00	\$0	\$0
First Presentation of Multiple Dependent claim(s) if any (37 CFR 1.16(j))				\$180.00	\$0	\$0
Total Additional Fee					\$0	\$0

If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20". If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

"After final rejection or action (S 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR S 1.116(a) (emphasis added). WARNING:

(complete (c) or (d) as applicable)

(c) [x] No additional fee for claims is required. Total additional fee for claims required \$\_\_\_\_\_ (d) [ ]

#### **FEE PAYMENT**

5. [x]	Attached is a check in the sum of \$	180.00 (Information Disclosure Statement).
[ ]	Charge Account No the su	ım of \$

### **FEE DEFICIENCY**

NOTE:

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If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. [x] If any overpayment of fees or additional extension and/or fee is required, charge Account No. <u>06-2360</u>.

#### AND/OR

[x]		additional fee for claims is required charge Account No. $\_$
	06-2360	AINIL.
		SIGNATURE OF ATTORNEY
Reg. No.:	55,185	Patrick J. Fleis
		TYPE OR PRINT NAME OF ATTORNEY
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Thompson et al.

Docket No.: 9345.17121-CON 1

Serial No.:

09/883,089

Examiner: Ruth S. Smith

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15 June 2001

Group Art Unit: 3737

Title:

Systems for Applying Ultrasound Energy to the Thoracic Cavity

## **AMENDMENT B**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# **INTRODUCTORY COMMENTS**

In response to the Office Action dated 6 June 2005, please amend the above-referenced application as follows: